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NOTICE OF ALLOWANCE AND FEE(S) DUE

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7590

11/19/2009

EXAMINER

TECKLU, ISAAC TUKU

ART UNIT

PAPER NUMBER

2192

DATE MAILED: 11/19/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.

10/620,747 07/16/2003 Mark S. Moir 6000-33600 8970

TITLE OF INVENTION: OBSTRUCTION-FREE MECHANISM FOR ATOMIC UPDATE OF MULTIPLE NON-CONTIGUOUS LOCATIONS IN SHARED MEMORY

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	02/19/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

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									(Signature)
									(Date)
APPLICATION NO.	FILING DATE	Е	FIRST NAMED INVEN	TOR		ATTO	RNEY DOCKET NO.	СО	NFIRMATION NO.
10/620,747	07/16/2003	•	Mark S. Moir				6000-33600		8970
TITLE OF INVENTION SHARED MEMORY	ON: OBSTRUCTION-FI	REE MECHANISM FC	OR ATOMIC UPDATE	E OF	MULTIPLE NO	N-CO	NTIGUOUS LOCAT	IONS	3 IN
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE D	UE	PREV. PAID ISSUE	E FEE	TOTAL FEE(S) DUE		DATE DUE
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☐ "Fee Address" in	ange of Correspondence	(1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to							
PLEASE NOTE: Ur recordation as set for (A) NAME OF ASS	nless an assignee is iden th in 37 CFR 3.11. Com IGNEE	'A TO BE PRINTED ON tiffied below, no assigned pletion of this form is NO	e data will appear on the DT a substitute for filing (B) RESIDENCE: (C	ne pa g an a	ttent. If an assignoussignment. and STATE OR C	OUNT	TRY)		
Please check the approp	riate assignee category o	or categories (will not be p	printed on the patent):		Individual L Co	rporati	on or other private gr	oup er	ntity Government
4a. The following fee(s) are submitted: ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies			 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) A check is enclosed. Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). 						
	atus (from status indicate ns SMALL ENTITY stat		☐ b Applicant is no	lons	er claiming SMAI	L EN	ΓΙΤΥ status. See 37 C	FR 1.1	27(g)(2)
NOTE: The Issue Fee an	nd Publication Fee (if rec	quired) will not be accept ates Patent and Trademar	ed from anyone other th						
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10/620,747	07/16/2003	Mark S. Moir	6000-33600	8970		
58467 7590 11/19/2009 MHKKG/SUN P.O. BOX 398 AUSTIN, TX 78767			EXAMINER			
			TECKLU, ISAAC TUKU			
			ART UNIT	PAPER NUMBER		
			2192			
			DATE MAILED: 11/19/2009			

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1038 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1038 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Annlicant(a)			
	Application No.	Applicant(s)			
Notice of Allowability	10/620,747	MOIR ET AL.			
Notice of Allowability	Examiner	Art Unit			
	ISAAC T. TECKLU	2192			
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT F of the Office or upon petition by the applicant. See 37 CFR 1.31	S (OR REMAINS) CLOSED in Signal or other appropriate common RIGHTS. This application is	n this application. If not included unication will be mailed in due cou	rse. THIS		
1. This communication is responsive to <u>10/06/09</u> .					
2. X The allowed claim(s) is/are <u>1-23, 25-43, 46, 44-56 and 58</u>	3-59 (renumbered as 1-54 <u>)</u> .				
 3. Acknowledgment is made of a claim for foreign priority of a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have 	ve been received. ve been received in Applicati	on No	from the		
International Bureau (PCT Rule 17.2(a)).		and the second configuration			
* Certified copies not received:					
Applicant has THREE MONTHS FROM THE "MAILING DATE noted below. Failure to timely comply will result in ABANDON THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be subr	MENT of this application.				
INFORMAL PATENT APPLICATION (PTO-152) which give			CL OF		
5. CORRECTED DRAWINGS (as "replacement sheets") mu	ust be submitted.				
(a) ☐ including changes required by the Notice of Draftspel	rson's Patent Drawing Revie	w (PTO-948) attached			
1) ☐ hereto or 2) ☐ to Paper No./Mail Date	_				
(b) ☐ including changes required by the attached Examinel Paper No./Mail Date	r's Amendment / Comment o	r in the Office action of			
Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in			:k) of		
 DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT 			the		
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5 □ Notice of I	oformal Datant Application			
 Notice of References Cited (FTO-692) Dotice of Draftperson's Patent Drawing Review (PTO-948) 	_	nformal Patent Application Summary (PTO-413),			
 Information Disclosure Statements (PTO/SB/08), 	Paper No	/Mail Dates Amendment/Comment			
Paper No./Mail Date 4. Examiner's Comment Regarding Requirement for Deposit			200		
of Biological Material		8. ☑ Examiner's Statement of Reasons for Allowance9. ☐ Other			
/Isaac T Tecklu/	/Tuan Q. Dam	<u> </u>			
Examiner, Art Unit 2192		atent Examiner, Art Unit 2192			

DETAILED ACTION

1. Claims 24, 44-45, 47 and 57 have been cancelled.

2. Claims 1-23, 25-43, 46, 48-56 and 58-59 are allowed.

EXAMINER'S AMENDMENT

3. An examiner's amendment to the record appear below. Should the change and/or additions be unacceptable to the Applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such amendment, it MUST be submitted no later than the payment of issue fee.

Authorization for examiner's amendment was given in a telephone interview with Robert C. Kowert, Reg. No. 39,255 on October 26, 2009 to put the case in condition for allowance.

4. The Claims are amended, as presented below, to adopt the changes provided by Applicant's representative on October 26, 2009.

IN THE CLAIMS:

The listings of claims below will replace all prior versions, and listings, of claims in the application.

Please cancel claims 24, 47 and 57 and amend claims 6, 22, 25, 27, 42, 46, 48 and 56 as follows:

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1. (Previously presented) A method in a computer system, the method comprising:

defining a plurality of transactionable locations, wherein individual ones of the transactionable locations encode respective values and are owned by no more than one transaction at any given point in a multithreaded computation;

for a particular non-blocking multi-target transaction of the multithreaded computation targeting two or more of the plurality of transactionable locations, attempting to acquire ownership of each of the transactionable locations targeted thereby, wherein the ownership acquiring wrests ownership from another non-blocking transaction that owns the targeted transactionable location without the other non-blocking transaction releasing ownership; and

- once ownership of each of the targeted transactionable locations has been acquired, attempting to commit the particular non-blocking multi-target transaction using a single-target synchronization primitive to ensure that, at the commit, the particular non-blocking multi-target transaction continues to own each of the targeted transactionable locations, wherein individual ones of the non-blocking multi-target transactions do not contribute to progress of another.
- 2. (Previously presented) The method of claim 1, wherein the ownership wresting employs a single-target synchronization primitive to change status of the wrested-from transaction to be incompatible with a commit thereof.
- 3. (Previously presented) The method of claim 2, wherein, as a result of the status change, the wrested-from transaction fails and retries.

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4. (Previously presented) The method of claim 2,

wherein the wrested-from non-blocking transaction is itself a non-blocking multitarget transaction.

5. (Original) The method of claim 1, further comprising:

on failure of the commit attempt, reacquiring ownership of each targeted transactionable location and retrying.

6. (Currently amended) The method of claim 1,

wherein no active transaction [[may]] <u>is able to</u> prevent another transaction from wresting therefrom ownership of transactionable locations targeted by the active transaction.

7. (Original) The method of claim 1,

wherein the ownership acquiring employs a single-target synchronization primitive to update the ownership of the targeted transactionable location.

8. (Original) The method of claim 1,

wherein each encoding of a transactionable location is atomically updateable using a single-target synchronization primitive.

9. (Original) The method of claim 1,

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wherein the individual transactionable location encodings further include an identification of the owning transaction's corresponding value for the transactionable location.

- 10. (Original) The method of claim 1, further comprising: accessing values corresponding to individual ones of the transactionable locations using a wait-free load operation.
 - 11. (Original) The method of claim 1, wherein the transactionable locations directly encode the respective values.
 - 12. (Original) The method of claim 1, wherein the transactionable locations are indirectly referenced.
- 13. (Previously presented) The method of claim 1, wherein the transactionable locations are encoded in storage managed using a non-blocking memory management technique.
- 14. (Original) The method of claim 1, wherein the transactionable locations, if unowned, directly encode the respective values and otherwise encode a reference to the owning transaction.
- 15. (Original) The method of claim 1, wherein the single-target synchronization primitive employs a Compare-And-Swap (CAS) operation.

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16. (Previously presented) The method of claim 1, wherein the single-target synchronization primitive employs a Load-Linked (LL) and Store-Conditional (SC) operation pair.

17. (Original) The method of claim 1,

wherein the single-target of the single-target synchronization primitive includes at least a value and a transaction identifier encoded integrally therewith.

- 18. (Previously presented) The method of claim 1, wherein the non-blocking multi-target transaction comprises a multi-target compare and swap (NCAS) operation.
 - 19. (Previously presented) The method of claim 1, embodied in operation of an application programming interface (API) that includes a load operation and a multi-target compare and swap (NCAS) operation.
 - 20. (Original) The method of claim 19, wherein the load operation is wait-free.
- 21. (Previously presented) The method of claim 1, embodied in operation of an application programming interface (API) that provides transactional memory functionality.

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22. (Currently amended) A computer-readable storage medium storing program

instructions computer-executable to implement:

a plurality of non-blocking, multi-target transactions;

wherein the program instructions comprise:

instances of one or more single-target synchronization primitives executable

to attempt to acquire, for a particular non-blocking multi-target

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transaction, ownership of two or more transactionable locations

targeted by the non-blocking multi-target transaction so that

ownership is wrested from respective other ones of the non-blocking

multi-target transactions that own respective ones of the two or more

targeted transactionable locations without the respective other ones

of the non-blocking multi-target transactions releasing ownership;

and

a particular single-target synchronization primitive executable to ensure that,

at commit, the particular non-blocking multi-target transaction

continues to own each of the two or more targeted transactionable

locations; and

wherein individual ones of the non-blocking multi-target transactions do not

contribute to progress of others.

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23. (Previously presented) The storage medium of claim 22, wherein the program instructions are further executable to implement a concurrent computation, and wherein execution of the concurrent computation invokes the non-blocking multi-target transactions.

24. (Cancelled)

25. (Currently amended) The storage medium of claim [[24]] <u>22</u>, wherein to wrest ownership, the program instructions are further executable to implement an instance of a single-target synchronization primitive changing status of a wrested-from transaction to be incompatible with a commit thereof.

26. (Previously presented) The storage medium of claim 25, wherein, as a result of the status change, the program instructions are further executable to implement the wrested-from transaction eventually failing and retrying.

27. (Currently amended) The storage medium of claim 22, wherein no active transaction [[may]] is able to prevent another transaction from wresting therefrom ownership of transactionable locations targeted by the active transaction.

28. (Previously presented) The storage medium of claim 22, wherein the two or more transactionable locations directly encode respective values.

29. (Previously presented) The storage medium of claim 22,

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wherein the two or more transactionable locations are indirectly referenced.

30. (Previously presented) The storage medium of claim 22, wherein the two or more transactionable locations are encoded in storage managed using a non-blocking memory management technique.

31. (Previously presented) The storage medium of claim 22, wherein the two or more transactionable locations, if unowned, directly encode respective values and otherwise encode a reference to an owning transaction.

32. (Previously presented) The storage medium of claim 22, wherein at least some instances of the one or more single-target synchronization primitives employ a Compare-And-Swap (CAS) operation.

33. (Previously presented) The storage medium of claim 22, wherein at least some instances of the one or more single-target synchronization primitives employ a Load-Linked (LL) and Store-Conditional (SC) operation pair.

34. (Previously presented) The storage medium of claim 22, wherein at least some of the non-blocking multi-target transactions comprise a multi-target compare and swap (NCAS) operation.

35. (Previously presented) The storage medium of claim 22,

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wherein the program instructions comprise operations concurrently executable by one or more processors to operate on state of the two or more transactionable locations.

36. (Previously presented) The storage medium of claim 22,

wherein at least some of the non-blocking multi-target transactions are defined by an application programming interface (API) that includes a load operation and a multi-target compare and swap (NCAS) operation.

37. (Previously presented) The storage medium of claim 22,

wherein at least some of the non-blocking multi-target transactions are defined by an application programming interface (API) that provides transactional memory functionality.

38. (Previously presented) The storage medium of claim 22, wherein the non-blocking multi-target transactions are obstruction-free, though not wait-free or lock-free.

39. (Previously presented) The storage medium of claim 22, wherein the program instructions do not guarantee that at least one interfering concurrently executed non-blocking multi-target transactions makes progress.

40. (Previously presented) The storage medium of claim 22,

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wherein the program instructions are further executable to implement a contention management facility configured to facilitate progress in a concurrent computation.

41. (Previously presented) The storage medium of claim 40,

wherein execution of the contention management facility ensures progress of the concurrent computation.

42. (Currently amended) The storage medium of claim 40,

wherein the contention management facility is modular such that <u>employing</u> alternative contention management strategies may be employed without affecting does not affect correctness.

43. (Previously presented) The storage medium of claim 40,

wherein the contention management facility allows changes in contention management strategy during a course of the concurrent computation.

- 44. (Cancelled)
- 45. (Cancelled)

46. (Currently amended) A computer readable storage medium storing program instructions computer-executable to implement:

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instantiation of two or more transactionable locations in shared memory configured to individually encapsulate values that [[may be]] are targeted by concurrent executions of non-blocking multi-target transactions; and one or more instances of a non-blocking multi-target transaction that upon execution of a particular instance thereof attempts to acquire ownership of each of a plurality of

a particular instance thereof, attempts to acquire ownership of each of a plurality of transactionable locations targeted thereby and, once ownership of each of the plurality of targeted transactionable locations has been acquired, attempts to commit the particular instance using a single-target synchronization primitive to ensure that, at the commit, the particular instance continues to own each of the plurality of targeted transactionable locations[[,]]:

wherein the ownership acquiring wrests ownership from another transaction that owns one of the plurality of targeted transactionable locations without the other transaction releasing ownership; and

wherein execution of no one of the non-blocking multi-target transaction instances contributes to progress of another.

47. (Cancelled)

48. (Currently amended) The storage medium of claim [[47]] <u>46</u>, wherein the another transaction is another concurrently executing instance of the non-blocking multi-target transaction.

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49. (Previously presented) The storage medium of claim 46, wherein at least some instances of the single-target synchronization primitive employ a Compare-And-Swap (CAS) operation.

- 50. (Previously presented) The storage medium of claim 46, wherein at least some instances of the single-target synchronization primitive employ a Load-Linked (LL) and Store-Conditional (SC) operation pair.
- 51. (Previously presented) The storage medium of claim 46, wherein the single-target of the single-target synchronization primitive includes a value and an owning transaction identifier encoded integrally therewith.
- 52. (Previously presented) The storage medium of claim 46, wherein the program instructions are embodied as an application programming interface software component combinable with application program code to facilitate execution of the application program code as a multithreaded computation.
- 53. (Previously presented) The storage medium of claim 46, wherein the non-blocking multi-target transaction implements a multi-target compare and swap operation (NCAS).
 - 54. (Previously presented) The storage medium of claim 46,

wherein the non-blocking multi-target transaction implements transactional memory functionality.

55. (Previously presented) The storage medium of claim 46, wherein the computer readable storage medium includes at least one medium selected from the set of a disk, a tape and another magnetic, optical, or electronic storage medium.

56. (Currently amended) An apparatus, comprising: one or more processors;

one or more data stores addressable by each of the one or more processors; and means for coordinating concurrent non-blocking execution, by the one or more processors, of non-blocking multi-target transactions that attempt to acquire ownership of each of a plurality of transactionable locations targeted thereby and, once ownership of each of the plurality of targeted transactionable locations has been acquired, attempt to commit a particular instance thereof using a single-target synchronization primitive to ensure that, at the commit, the particular instance continues to own each of the plurality of targeted transactionable locations, wherein the ownership acquiring wrests ownership from another transaction that owns one of the plurality of targeted transactionable locations without the other transaction releasing ownership, and wherein none of the non-blocking multi-target transaction contributes to progress of another.

57. (Cancelled)

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58. (Previously presented) The apparatus of claim 56,

wherein the wresting means includes means for ensuring that status of the wrested-from transaction is incompatible with a successful commit thereof.

59. (Previously presented) The apparatus of claim 56, further comprising:

means for managing contention between interfering executions of the non-blocking multi-target transactions.

--END--

Allowable Subject Matter

5. The following is an examiner's statement of reasons for allowance:

As applicant pointed out under Remark section, pages 14-18, Daynes (US 6,182,186 B2), taken either singly and/or in combination with other cited prior arts, do not teach the combined functional limitations of for a particular non-blocking multi-target transaction of the multithreaded computation targeting two or more of the plurality of transactionable locations, attempting to acquire ownership of each of the transactionable locations targeted thereby, wherein the ownership acquiring wrests ownership from another non-blocking transaction, that owns the targeted transactionable location without the other non-blocking transaction releasing ownership; and once ownership of each of the targeted transactionable locations has been acquired, attempting to commit the particular non-blocking multi-target transaction using a single-target synchronization primitive to ensure that, at the commit, the particular non-blocking multi-target transaction continues to own each of the targeted transactionable locations, wherein individual ones of the non-blocking multi-target transactions do not contribute to progress of another, as recited in such manners in each of independent claims 1, 22, 46 and 56.

Prior arts of record do not teach and/or suggest these claimed limitations, thus, all remaining pending claims 1-23, 25-43, 46, 48-56 and 58-59 are allowed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

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Conclusion

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to ISAAC T. TECKLU whose telephone number is (571) 272-7957. The examiner can normally be reached on M-TH 9:300A - 8:00P.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tuan Q. Dam can be reached on (571) 272-3695. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Isaac T Tecklu/ Examiner, Art Unit 2192 /Tuan Q. Dam/ Supervisory Patent Examiner, Art Unit 2192